

Significant Financial Interest Disclosure Form

Instructions: Please complete the form below and return to the ECMCC Research Committee. The completed form may also be submitted via email to nmund@ecmc.edu. If any answer is checked "Yes" you will be contacted for additional information.

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| Investigator Name: _____ | Phone #: _____ | |
| Department: _____ | Email: _____ | |
| Questions | Yes | No |
| <p>Do you, your spouse or dependent child(ren) hold a position of management, such as board member, director, officer, partner, trustee, employee or consultant with a profit or not-for-profit entity?</p> <p>If yes, what is that entity: _____</p> | <input type="radio"/> | <input type="radio"/> |
| <p>Do you, your spouse or dependent child(ren) have a financial interest in any publicly traded entity where the value of any remuneration received from the entity in the twelve months preceding this disclosure and/or the value of any equity in the entity as of the date of disclosure, when aggregated exceeds \$5,000?</p> | <input type="radio"/> | <input type="radio"/> |
| <p>Do you, your spouse or dependent child(ren) have a financial interest in any non-publicly traded entity where the value of any remuneration received from the entity in the twelve months preceding the disclosure, when aggregated, exceeds \$5,000?</p> <p>Do you, your spouse or dependent child(ren) hold any equity interest (e.g., stock, stock option, or other ownership interest) in any non-publicly traded entity?</p> | <input type="radio"/> <input type="radio"/> | <input type="radio"/> <input type="radio"/> |
| <p>Have you, your spouse or dependent child(ren) received income from royalty or other forms of payment, in the twelve months preceding the disclosure, when aggregated, exceeds \$5,000? Do not include intellectual property rights assigned to ECMCC or the SUNY at Buffalo Research Foundation, or agreements to share in royalties related to such rights.</p> | <input type="radio"/> | <input type="radio"/> |
| <p>Have you had travel sponsored or reimbursed for business reasons that cumulatively exceeds \$5,000? (other than from ECMCC or Research Foundation for ECMCC)</p> | <input type="radio"/> | <input type="radio"/> |
| <p>Investigator Certification:</p> <ul style="list-style-type: none"> • I have read and understood the Investigator Financial Conflict of Interest Policy • I agree to file a new or updated disclosure form if the answer to any of the above questions changes • I certify that the answers are accurate and truthful to the best of my knowledge <p>Signature: _____ Date: _____</p> | | |